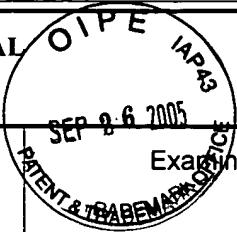


STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
TCM215

In Re Application Of: ZHANG ET AL



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/796,930	03/10/2004		32047	2872	3259

Invention:

**METHODS AND APPARATUS FOR POLARIZATION CONTROL**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Donald J. Perreault

Attorney for Applicant

Reg. No. 40,126

Grossman, Tucker, Perreault & Pfleger, PLLC  
55 South Commercial Street  
Manchester, NH 03101

Dated: September 22, 2005

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

September 22, 2005

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cc:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>			Docket Number (Optional) <b>TCM215</b>		Application Number <b>10/796,930</b>			
			Applicant(s) <b>Zhang et al</b>					
			Filing Date <b>03/10/2004</b>		Group Art Unit <b>2872</b>			
			<b>U.S. PATENT DOCUMENTS</b>					
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>US2003/0081874</b>	<b>05/01/2003</b>	<b>Yao</b>	<b>385</b>	<b>11</b>	<b>12/10/2002</b>	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
		<b>0310174</b>	<b>04/05/1989</b>	<b>EPO</b>	<b>H04B</b>	<b>9</b>	<b>YES</b>	<b>NO</b>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		<b>Takanori Okoshi; "Polarization-State Control Schemes for Heterodyne or Homodyne Optical Fiber Communications"; December 1985; Journal of Lightwave Technology, Vol. LT-3, No. 6; pp. 1232-1237.</b>						
		<b>European Search Report mailed June 22, 2005 in connection with corresponding European Appln. No. 05251430.4</b>						
EXAMINER				DATE CONSIDERED				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								